

## HOUSEHOLD INFORMATION PACKET (HIP) 2001 Survey of Consumer Finances AP

**INTRODUCTION EXAMPLE:**

Hello, I'm (YOUR NAME) from the National Opinion Research Center at the University of Chicago (SHOW ID). Recently a mailing was sent to this household from NORC which included a letter from Alan Greenspan, Chairman of the Federal Reserve Board, and a letter from NORC. These letters mention that someone would be calling regarding an important financial study that is being conducted in your area. Did you receive this mailing? (IF MAILING WAS NOT RECEIVED, OFFER ONE.)

*Apply AP assignment label in box below.*

ID:
PSU:
Seg:
Line: _____ Block: _____
Address: _____
City: _____ State:
Telephone: (    ) _____

*Check each item after completing in CAPI.*

- 1. Household Screener (pg. 2-3)
- 2. Housing Unit (HU) Observations (pg.4 -6)   
*(Must be completed for each case)*
- 3. HIP Informant Observations (pg. 7-8)   
*(Must be completed if you speak to someone in the HU)*
- 4. Interviewer Remarks

Address/Phone Update Entered in CMS <input type="checkbox"/>	Address/Phone Update Entered in CMS <input type="checkbox"/>
_____	_____
_____	_____

*Record directions to respondent's residence:*

_____
_____
_____
_____
_____

FIELD INTERVIEWER: \_\_\_\_\_

FI ID: | | | | | | | |

**HOUSEHOLD SCREENER**

1. First, let me make sure I have your address described correctly and then I would like to ask you just a few questions. (READ STREET NUMBER AND NAME, APT# OR OTHER DESCRIPTION FROM ASSIGNMENT BOX). IF EXACTLY THE SAME, GO TO Q. 2.

IF THE ADDRESS IS INCORRECT, EXAMINE THE SEGMENT PRINTOUT AND RECONCILE. IF DIFFERENCES CANNOT BE RESOLVED, CALL YOUR FM BEFORE CONTINUING.

2. What is the total number of people residing in this household? |\_\_|\_\_|

3. How many people under the age of 18 live in this household? |\_\_|\_\_|

IF NUMBER SAME AS NUMBER IN QUESTION 2, ASK: So everyone here is under age 18?

YES ..... 1                      SKIP TO Q11  
NO ..... 2

4. For this study, we would like to interview the head of the household or that person’s spouse or partner if they are more knowledgeable about the household finances. Who would this be? (PROBE, IF NECESSARY)

NAME (SPECIFY) \_\_\_\_\_ 1 GO TO Q5  
UNSURE ..... 2 GO TO Q4A

4A. We want the person who either owns the residence or whose name is on the lease, or that person’s spouse or partner if they are more knowledgeable about the household finances. Who would this be? (PROBE, IF NECESSARY)

NAME (SPECIFY) \_\_\_\_\_ 1 GO TO Q5  
MULTIPLE OWNERS/RENTERS ..... 2 GO TO Q4B  
NO ONE/UNSURE ..... 3 GO TO Q4C

4B. Of the multiple owners/renters, we want the person who is closest to age 45 or that person’s spouse or partner if they are more knowledgeable about the household finances. Who would this be? (PROBE, IF NECESSARY)

NAME (SPECIFY) \_\_\_\_\_ GO TO Q5

4C. In that case, we want the person closest to age 45 or that person’s spouse or partner whichever is more knowledgeable about the finances of the household. Who would this be? (PROBE, IF NECESSARY)

NAME (SPECIFY) \_\_\_\_\_ GO TO Q5

5. Before we go on, I just need to ask you a couple more preliminary questions.

IS (NAME IN Q. 4-4C) ALSO THE HIP INFORMANT? (PROBE, IF NECESSARY.)

YES ..... 1                      SKIP TO Q8  
NO ..... 2

6. What is your name? \_\_\_\_\_

7. How are you related to (RESPONDENT)? \_\_\_\_\_

8. How old were you on your last birthday?   |\_|\_|

9. CODE SEX; IF NOT OBVIOUS SAY: and for research purposes I have to ask -- what is your sex?

- MALE ..... 1
- FEMALE ..... 2

10. (NAME PROVIDED IN Q. 4-4C) has been selected to participate in this interview.

10A. **IF RESPONDENT AVAILABLE**, CONDUCT THE INTERVIEW. IF RESPONDENT NOT AVAILABLE, GO TO Q. 10B.

10B. **IF RESPONDENT NOT AVAILABLE** ASK: What would be the best time to reach (RESPONDENT)?

\_\_\_\_-\_\_\_\_-\_\_0\_\_1\_\_ Time: \_\_\_\_:\_\_\_\_ A / P

Phone: (\_\_\_\_) \_\_\_\_\_-\_\_\_\_\_

GO TO Q. 11. IF RESPONDENT NOT WILLING TO DO THE INTERVIEW, GO TO Q. 10C.

10C. **IF SELECTED RESPONDENT NOT WILLING TO DO INTERVIEW**, REQUEST AN INTERVIEW WITH R'S SPOUSE/PARTNER OR WITH A PROXY.

**IF PROXY,**

1. HAVE RESPONDENT SIGN A PROXY PERMISSION FORM.
2. DETERMINE A GOOD TIME TO CALL THE PROXY TO SCHEDULE AN APPOINTMENT AND GO TO QUESTION 11.

\_\_\_\_-\_\_\_\_-\_\_0\_\_1\_\_ Time: \_\_\_\_:\_\_\_\_ A / P

Phone: (\_\_\_\_) \_\_\_\_\_-\_\_\_\_\_

IF RESPONDENT REFUSES INTERVIEW, GO TO Q. 10D.

10D. **IF RESPONDENT REFUSES** FOR SELF AND FOR SPOUSE/PARTNER OR PROXY, CIRCLE TYPE OF REFUSAL BELOW.

- SOFT REFUSAL ..... 1
- HARD REFUSAL ..... 2
- HOSTILE REFUSAL ..... 3

11. Thank you very much for your time.

### HOUSING UNIT (HU) OBSERVATIONS

*CAPI INSTRUCTIONS:*

- ENTER ANSWERS TO Q. 1-10 IN CAPI AFTER INITIAL CONTACT, EVEN IF NO ONE WAS AT HOME.
- ENTER ANSWERS TO Q 11-14 AFTER SPEAKING WITH HIP INFORMANT.

1. TYPE OF STRUCTURE IN WHICH R LIVES: (CODE THE FIRST THAT APPLIES)

- DID NOT SEE R'S HOME ..... 0            SKIP TO Q11
- TRAILER, MOBILE HOME ..... 1
- DETACHED SINGLE-FAMILY HOME ..... 2
- BUILDING WITH 2-9 UNITS ..... 3
- BUILDING WITH 10-49 UNITS ..... 4
- BUILDING WITH 50 OR MORE UNITS ..... 5
- APARTMENT IN MOSTLY COMMERCIAL STRUCTURE ..... 6
- OTHER ..... 7
- (SPECIFY) \_\_\_\_\_

2. DOES THE BUILDING/PROPERTY CONTAINING THE HU HAVE ANY OF THE FOLLOWING? (CODE ALL THAT APPLY AND FOLLOW SKIP FOR FIRST APPLICABLE RESPONSE).

- DOORMAN ..... 1
- GUARDHOUSE ..... 2
- OTHER GATEKEEPER ..... 3
- LOCKED LOBBY ..... 4
- LOCKED GATE ..... 5
- NO TRESPASSING SIGN ..... 6
- NONE OF THE ABOVE ..... 7
- } SKIP TO Q4

3. DOES THE (DOORMAN/GUARD/GATEKEEPER) REQUIRE PERMISSION FROM SOMEONE IN R'S HU TO ALLOW YOU TO MAKE CONTACT WITH THE HOUSEHOLD?

- YES ..... 1
- NO ..... 2

4. CONDITION OF STRUCTURE IN WHICH R LIVES:

- GENERALLY CLEAN AND SOUND ..... 1
- SOME PEELING PAINT OR CRACKS IN WALLS ..... 2
- NEEDS SUBSTANTIAL PAINTING, REFILLING, OR REPAIR .... 3
- DILAPIDATED ..... 4

5. HOUSING STRUCTURES ON (BLOCK/AREA) ARE:

0-20 FEET APART ..... 1

21-100 FEET APART ..... 2

OVER 100 FEET APART ..... 3

6. THE AREA AROUND R'S HU IS: (CODE ALL THAT APPLY)

OPEN LAND/FARMS/RANCHES ..... 1

TRAILER PARK ..... 2

DETACHED SINGLE-FAMILY HOMES ..... 3

ATTACHED SINGLE-FAMILY HOMES ..... 4

BUILDINGS WITH 2-9 UNITS ..... 5

BUILDINGS WITH 10-49 UNITS ..... 6

BUILDINGS WITH 50 OR MORE UNITS ..... 7

GOLF COURSE ..... 8

PARK OR CEMETERY ..... 9

COMMERCIAL STRUCTURES ..... 10

VACANT BUILDINGS ..... 11

SCHOOL OR OTHER GOVERNMENT BUILDING ..... 12

OTHER ..... 13

(SPECIFY) \_\_\_\_\_

7. BUILDINGS IN THE IMMEDIATE NEIGHBORHOOD ARE:

ALL RESIDENTIAL ..... 1

MOSTLY RESIDENTIAL ..... 2

ABOUT EQUALLY RESIDENTIAL AND NONRESIDENTIAL ..... 3

MOSTLY NONRESIDENTIAL ..... 4

NONE IN VIEW ..... 5

8. GENERAL CONDITION OF THE EXTERIOR OF BUILDINGS  
AROUND R'S DWELLING LOOKS:

BETTER THAN R'S ..... 1

AS GOOD AS R'S ..... 2

WORSE THAN R'S ..... 3

9. NEIGHBORHOOD RESIDENTS SEEM TO BE:

ALL OR ALMOST ALL AFRICAN-AMERICAN ..... 1

ALL OR ALMOST ALL HISPANIC ..... 2

ALL OR ALMOST ALL NON-HISPANIC WHITE ..... 3

MIXED AFRICAN-AMERICAN AND HISPANIC ..... 4

MIXED AFRICAN-AMERICAN AND NON-HISPANIC WHITE ..... 5

MIXED HISPANIC AND NON-HISPANIC WHITE ..... 6

MIXED AFRICAN-AMERICAN, HISPANIC AND  
NON-HISPANIC WHITE ..... 7

OTHER MIXED NEIGHBORHOOD ..... 8

OTHER ..... 9

(SPECIFY) \_\_\_\_\_

NONE OBSERVED ..... 10

SKIP TO Q9



10. GENERALLY, THE AREA AROUND THE R'S HU APPEARS TO BE:  
UNUSUALLY WEALTHY ..... 1  
NOT UNUSUALLY WEALTHY OR UNUSUALLY POOR ..... 2  
UNUSUALLY POOR ..... 3

*CAPI INSTRUCTION:*

*IF YOU DID NOT SPEAK WITH ANYONE IN THE HU, PRESS <CTRL> <END> TO SUSPEND AND SAVE.*

*COMPLETE Qs. 11-14 AFTER FIRST CONTACT WITH HIP INFORMANT.*



**HIP INFORMANT OBSERVATIONS**

11. THE FIRST PERSONAL CONTACT WITH THE HIP INFORMANT WAS:

- FACE-TO-FACE ..... 1
  - BY INTERCOM OR TELEPHONE AT HU ..... 2
  - OTHER TELEPHONE ..... 3
  - OTHER ..... 4
- (SPECIFY): \_\_\_\_\_
- 

} SKIP TO Q13

12. RACE/ETHNICITY OF HIP INFORMANT WAS:

- NON-HISPANIC WHITE ..... 1
  - BLACK; AFRICAN-AMERICAN ..... 2
  - HISPANIC; LATINO ..... 3
  - ASIAN ..... 4
  - AMERICAN INDIAN; ALASKA NATIVE ..... 5
  - PACIFIC ISLANDER; HAWAIIAN NATIVE ..... 6
  - OTHER ..... 7
- (SPECIFY): \_\_\_\_\_

13. DID THE HIP INFORMANT ASK ANY OF THE FOLLOWING?  
(CODE ALL THAT APPLY)

- WHAT IS THE PURPOSE OF THE SURVEY ..... 1
  - WHO IS THE SPONSOR OF THE SURVEY ..... 2
  - WHY/HOW WAS I CHOSEN ..... 3
  - HOW LONG WILL THE INTERVIEW TAKE ..... 4
  - WHO WILL SEE THE ANSWERS; PRIVACY QUESTIONS ..... 5
  - CAN I GET A COPY OF THE RESULTS ..... 6
  - IS THERE AN INCENTIVE FOR PARTICIPATING ..... 7
  - OTHER INFORMATIONAL QUESTION OR EXPRESSION OF  
INTEREST ..... 8
- (SPECIFY): \_\_\_\_\_
- NONE OF THE ABOVE ..... 9

14. DID THE HIP INFORMANT MAKE ANY OF THE FOLLOWING COMMENTS?  
(CODE ALL THAT APPLY)

- I'M TOO BUSY/DON'T HAVE TIME/SURVEY TOO LONG ..... 1
- I DON'T LIKE/DO SURVEYS ..... 2
- I DON'T KNOW ANYTHING ABOUT THIS ..... 3
  
- I'M NOT THE SORT OF PERSON YOU WANT ..... 4
  
- I'M NOT INTERESTED ..... 5
- THIS IS TOO PERSONAL ..... 6
- SURVEY A WASTE OF MONEY ..... 7
- GOVERNMENT HAS NO BUSINESS DOING THIS ..... 8
- GOVERNMENT ALREADY KNOWS ALL THIS ..... 9
- LET ME THINK ABOUT IT ..... 10
- OTHER NEGATIVE COMMENT OR DELAY ..... 11
- (SPECIFY): \_\_\_\_\_
- NONE OF THE ABOVE ..... 12





